## IAP12 Rec'd PCT/PTO 1 6 APR 2007

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 212/532US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/575, 409

CONCERNING A CODIMICOIC	714 O14DER 33 0.3.C. 37 1	10/5/5,409				
INTERNATIONAL APPLICATION NO. PCT/SG2004/000323	INTERNATIONAL FILING DATE October 6, 2004	PRIORITY DATE CLAIMED October 10, 2003				
TITLE OF INVENTION  Method for Encoding a Digital Signal Into a Scalable Bitstream; Method for Decoding a Scalable Bitstream						
	an YU, Xiao LIN, and Susan					
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	O/US) the following items and other information:				
1. This is a <b>FIRST</b> submission of items co	oncerning a submission under 35 U.S.C. 371					
2. This is a SECOND or SUBSEQUENT'S	submission of items concerning a submission	n under 35 U.S.C. 371.				
This is an express request to begin nati (5), (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C. 37	1(f)). The submission must include items				
4. The US has been elected (Article 31).						
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
a. is attached hereto (required	d only if not communicated by the Internation	al Bureau).				
b. has been communicated by	b. has been communicated by the International Bureau.					
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
a. is attached hereto.	a. is attached hereto.					
b. has been previously submit	tted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the Inte	ernational Application under PCT Article 19 (	35 U.S.C. 371(c)(3))				
a. are attached hereto (requi	a. are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated	b. have been communicated by the International Bureau.					
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. have not been made and v	d. have not been made and will not be made.					
8. An English language translation of th	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:						
11. An Information Disclosure Statement	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. A preliminary amendment.	A preliminary amendment.					
14. An Application Data Sheet under 37 (	An Application Data Sheet under 37 CFR 1.76.					
15. A substitute specification.	·					
	A power of attorney and/or change of address letter.					
17. A computer-readable form of the sequ	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18. A second copy of the published Interr	A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19. A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	items or information		f Time with peti	tion fee of \$	450	
Exp	ress Mail	EV9633007	91US			
The follo	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY
21. Basic national fee (37 CFR 1.492(a))				\$		
22.	22.					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations\$200					\$	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400  All other situations					\$	
-	TOTAL OF 21, 22	and 23 =		1.2.2.2.	0	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)				
- 100 =	/50 =			x \$250	\$ 0	1
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	•
Total claims		- 20 =		× \$ 50	\$	
Independent clain	ns	- 3 =		× \$200	\$	
MULTIPLE DEPE	NDENT CLAIM(S	) (if applicable)		+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =			<b>\$</b> 130			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			0			
SUBTOTAL =			<b>\$</b> 130			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$ 0			
TOTAL NATIONAL FEE =				<b>\$</b> 130		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40		
			TOTAL F	EES ENCLOSED	2807 GFREYI GRADO	107 10575409
					Amount to be lrefunded:	\$ 130.00
	<del></del>			- 41 LC	Amount to be charged	\$

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a	A check in the amount of \$	to cover the above	e fees is enclosed.				
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d. 🔀	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
			$A \sim 11 M$				
SEND A	LL CORRESPONDENCE TO:	·	Caulf mily April 16, 2007				
Cus	tomer Number 23371	,	SIGNATURE Faul J. Backofen, Esq.				
			NAME				
			42,278				
	•		REGISTRATION NUMBER				
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